

ORIGINAL ARTICLE

Phase III, randomised trial of avelumab versus physician's choice of chemotherapy as third-line treatment of patients with advanced gastric or gastro-oesophageal junction cancer: primary analysis of JAVELIN Gastric 300

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Background: There currently are no internationally recognised treatment guidelines for patients with advanced gastric cancer/gastro-oesophageal junction cancer (GC/GEJC) in whom two prior lines of therapy have failed. The randomised, phase III JAVELIN Gastric 300 trial compared avelumab versus physician's choice of chemotherapy as third-line therapy in patients with advanced GC/GEJC.

Patients and methods: Patients with unresectable, recurrent, locally advanced, or metastatic GC/GEJC were recruited at 147 sites globally. All patients were randomised to receive either avelumab 10 mg/kg by intravenous infusion every 2 weeks or physician's choice of chemotherapy (paclitaxel 80 mg/m² on days 1, 8, and 15 or irinotecan 150 mg/m² on days 1 and 15, each of a 4-week treatment cycle); patients ineligible for chemotherapy received best supportive care. The primary end point was overall survival (OS). Secondary end points included progression-free survival (PFS), objective response rate (ORR), and safety.

Results: A total of 371 patients were randomised. The trial did not meet its primary end point of improving OS [median, 4.6 versus 5.0 months; hazard ratio (HR)=1.1 [95% confidence interval (CI) 0.9–1.4]; $P=0.81$] or the secondary end points of PFS [median, 1.4 versus 2.7 months; HR=1.73 (95% CI 1.4–2.2); $P>0.99$] or ORR (2.2% versus 4.3%) in the avelumab versus chemotherapy arms, respectively. Treatment-related adverse events (TRAEs) of any grade occurred in 90 patients (48.9%) and 131 patients (74.0%) in the avelumab and chemotherapy arms, respectively. Grade ≥ 3 TRAEs occurred in 17 patients (9.2%) in the avelumab arm and in 56 patients (31.6%) in the chemotherapy arm.

Conclusions: Treatment of patients with GC/GEJC with single-agent avelumab in the third-line setting did not result in an improvement in OS or PFS compared with chemotherapy. Avelumab showed a more manageable safety profile than chemotherapy.

Trial registration: ClinicalTrials.gov: NCT02625623.

Key words: PD-L1, avelumab, chemotherapy, gastric cancer, gastro-oesophageal junction cancer, phase III

Introduction

Patients with newly diagnosed metastatic gastric cancer/gastro-oesophageal junction cancer (GC/GEJC) have poor prognosis, with median overall survival (OS) of ~1 year; patients with previously treated metastatic GC/GEJC have even worse prognosis [1–4]. Chemotherapy remains the standard of care for advanced GC/GEJC and can prolong survival and improve quality of life compared with best supportive care (BSC); however, most chemotherapy regimens fail to provide substantial survival benefits [3, 4].

For patients with advanced GC/GEJC, first-line treatment with platinum and fluoropyrimidine is standard, with trastuzumab added for patients with HER2+ tumours [5–7]. Preferred second-line treatments include taxanes, irinotecan, or ramucirumab as monotherapy or in combination with paclitaxel [5, 6]. Although phase III data are lacking, third-line chemotherapy is widely utilised in patients in whom previous lines have failed, especially in Asia [8]. In the TAGS study, trifluridine/tipiracil improved OS [5.7 versus 3.6 months; HR = 0.69 (95% CI 0.56–0.85); $P = 0.0003$] compared with placebo as third-line or later therapy for advanced GC [9]. Currently, there are no standard, internationally recognised guidelines for third-line therapy for patients with advanced GC/GEJC, underscoring the need for effective therapies with acceptable safety profiles [5, 6, 8, 10].

GC/GEJC is associated with immune system evasion and overexpression of immune checkpoint proteins, providing the rationale for immunotherapy with anti-PD-1/PD-L1 therapy [11–14]. Elevated expression of PD-L1 has been reported in up to 65% of GC/GEJC and is associated with specific subtypes of gastric adenocarcinoma and tumours with high mutational burden [11–14]. However, there is currently no consensus on the role of PD-L1 expression as a prognostic biomarker in advanced GC [15].

Initial trial results have demonstrated the clinical activity of immunotherapy in the third-line setting or beyond in patients with advanced GC/GEJC in single-arm studies or randomised trials using placebo as the comparator. Pembrolizumab was granted accelerated approval in the USA for patients with PD-L1+ GC on the basis of a cohort of a large, non-randomised, phase II study showing tumour responses and manageable safety in patients whose disease had progressed after ≥ 2 prior lines of chemotherapy [16]. In a phase III trial carried out in Asian patients, nivolumab administered as third or later line of treatment improved OS versus placebo, resulting in approval in Japan, Taiwan, and South Korea for the treatment of unresectable advanced or recurrent GC progressing after chemotherapy [2].

Avelumab is a human anti-PD-L1 IgG1 monoclonal antibody that is approved for advanced urothelial carcinoma and metastatic Merkel cell carcinoma and has demonstrated efficacy in various

solid tumours, including GC/GEJC [17, 18]. In a cohort of the phase I JAVELIN Solid Tumor trial, avelumab administered as first-line maintenance or second-line treatment of patients with advanced GC/GEJC showed durable antitumour activity and an acceptable safety profile [19]. Avelumab has also shown encouraging results in a phase I cohort of Japanese patients with advanced GC/GEJC that progressed after chemotherapy in the JAVELIN Solid Tumor JPN trial [20].

Here, we report the results from a randomised, phase III trial of avelumab versus physician's choice of chemotherapy as third-line treatment in patients with advanced GC/GEJC.

Patients and methods

Study design and patients

JAVELIN Gastric 300 (NCT02625623) is a multicentre, international, randomised, open-label, phase III trial assessing avelumab versus physician's choice of chemotherapy as a third-line treatment of patients with advanced GC/GEJC. Eligible patients were required to be aged ≥ 18 years; have histologically confirmed recurrent, unresectable, locally advanced, or metastatic GC/GEJC (with either measurable or non-measurable disease) for which they received two prior lines of systemic treatment; and an Eastern Cooperative Oncology Group performance status of 0 or 1. Exclusion criteria included prior treatment with T-cell coregulatory protein inhibitors, concurrent anticancer treatment, and concurrent treatment with immunosuppressive agents (see [supplementary methods](#), available at *Annals of Oncology* online). The trial was conducted in accordance with the Declaration of Helsinki and other regulations. The protocol was approved by the institutional review board or independent ethics committee of each centre; all patients provided written informed consent before participation.

Treatment

All patients were randomised 1 : 1 to receive BSC and either avelumab 10 mg/kg by intravenous infusion every 2 weeks or physician's choice of chemotherapy. Premedication with diphenhydramine and acetaminophen was required 30–60 min before avelumab infusion. Permitted options in the chemotherapy arm included paclitaxel 80 mg/m² on days 1, 8, and 15 of a 4-week treatment cycle or irinotecan 150 mg/m² on days 1 and 15 of a 4-week treatment cycle. Patients randomised to the chemotherapy arm and deemed ineligible for chemotherapy were allowed to receive BSC without chemotherapy (irrespective, the non-avelumab-containing treatment arm will be referred to as the 'chemotherapy' arm hereafter). All patients were treated until progression, death, intolerable toxicity, or any other protocol-defined treatment discontinuation criterion was met.

End points

The primary objective was to demonstrate superiority of avelumab versus chemotherapy in terms of OS. Key secondary objectives included comparing progression-free survival (PFS) and objective response rate (ORR)

per independent review committee (IRC) assessment, as well as safety/tolerability. Exploratory objectives included assessing duration of and time to response and evaluating tumour shrinkage of target lesions from baseline, disease control rate (DCR), and tumour cell PD-L1 expression levels in relation to response parameters (DCR, ORR, PFS, and OS).

Assessments

On-treatment decisions were made at the discretion of the investigator (including discontinuation from study treatment), whereas assessments reported here are based on a blinded IRC. PFS and objective response were assessed per RECIST v1.1 by an IRC [21]. Adverse events (AEs) were evaluated using the NCI-CTCAE v4.03 (see [supplementary methods](#), available at *Annals of Oncology* online).

Statistics

The sample size for this trial was selected to provide 90% power to demonstrate improvement of 2 months of median OS time from 4 to 6 months [the primary end point; equivalent to a hazard ratio (HR) of 0.67 at the one-sided 2.5% overall significance level]. The primary analysis of comparing OS between treatment groups used a stratified, one-sided log-rank test on the intention-to-treat population and was planned for when 256 OS events had occurred and follow-up was ≥ 6 months. The stratification factor of region (Asia versus non-Asia) was used for the stratified statistical analysis of the primary and key secondary end points. Time-to-event end points were estimated with the Kaplan–Meier method, and confidence intervals (CIs) for the medians were calculated using the Brookmeyer–Crowley method.

Results

Patients demographics and treatment duration

Between 28 December 2015 and 13 March 2017, 459 patients were screened for participation, and 371 were enrolled (Figure 1). Of the 371 enrolled patients, 185 and 186 patients were randomised to the avelumab and chemotherapy arms, respectively. In the chemotherapy arm, 120 (64.5%) patients received irinotecan, 54 (29.0%) paclitaxel, and 3 patients (1.6%) received BSC only. Patient demographics and disease characteristics were generally balanced between arms (Table 1). Notably, 93 patients (25.1%) were enrolled in Asian countries.

At data cut-off (14 September 2017), median duration of treatment in the avelumab arm was 8.0 weeks (range 2–66) and patients received a median of 3 doses (range 1–31), while the chemotherapy arm had median treatment duration of 9.0 weeks (range 4–58) and patients received a median of 5 doses (range 1–39). Median duration of follow-up was 10.6 months in both the avelumab (range 0.1–17.8) and chemotherapy (range 0.0–17.6) arms. Twenty patients (5.4%) were still receiving study treatment [10 (5.4%) in each arm] at data cut-off. Disease progression was the most common reason for discontinuation in both the avelumab [$n = 139$ (75.1%)] and chemotherapy [$n = 134$ (72.0%)] arms. Post-treatment anticancer drug therapy was received by 58 patients (31.3%) and 66 patients (35.4%) in the avelumab and chemotherapy arms, respectively; the use of post-progression chemotherapy was balanced between arms ([supplementary Table S1](#), available at *Annals of Oncology* online). Seventeen patients (9.4%) had detectable antidrug antibodies in the avelumab arm.

Efficacy

The intention-to-treat population (all patients randomised to study treatment) comprised all 371 randomised patients. Median OS, the primary end point, was 4.6 months (95% CI 3.6–5.7) in the avelumab arm compared with 5.0 months (95% CI 4.5–6.3) in the chemotherapy arm [HR = 1.1 (95% CI 0.9–1.4); $P = 0.81$] (Figure 2). There were no statistically significant differences between the irinotecan and paclitaxel chemotherapy subgroups ([supplementary Figure S1](#), available at *Annals of Oncology* online). When assessing solely patients with disease control, median OS favoured avelumab [12.5 months (95% CI 7.8–17.8) versus 8.0 months (95% CI 7.0–11.0)].

Median PFS was 1.4 months (95% CI 1.4–1.5) in the avelumab arm and 2.7 months (95% CI 1.8–2.8) in the chemotherapy arm [HR = 1.73 (95% CI 1.4–2.2); $P > 0.99$].

Subgroup analyses of OS according to baseline demographics and disease characteristics, including PD-L1 expression, displayed no significant differences favouring either treatment arm, while PFS subgroup analyses consistently favoured the chemotherapy arm ([supplementary Figures S2 and S3](#), available at *Annals of Oncology* online).

The confirmed ORR was 2.2% ($n = 4$, 95% CI 0.6–5.4) and 4.3% ($n = 8$, 95% CI 1.9–8.3) in the avelumab and chemotherapy arms, respectively (Table 2). At data cut-off, eight patients had ongoing responses in the avelumab ($n = 3$) and chemotherapy ($n = 5$) arms. ORRs by patient subgroup are shown in [supplementary Table S2](#), available at *Annals of Oncology* online. Median time to response was 12.2 weeks (range 5.7–17.6) in the avelumab arm and 11.6 weeks (range 4.3–23.6) in the chemotherapy arm ([supplementary Figure S4](#), available at *Annals of Oncology* online). Median duration of response was not determined (range 1.4–5.5) and 5.5 months (range 1.5–7.0) in the avelumab and chemotherapy arms, respectively. The ORR was similar in an exploratory post hoc analysis of only randomised patients with measurable disease at baseline (2.0% versus 4.6% in the avelumab and chemotherapy arms, respectively).

Safety

The safety analysis set (all patients who were administered any dose of study treatment or BSC only) comprised 184 patients treated with avelumab and 177 patients treated with chemotherapy. Treatment-related AEs (TRAEs) of any grade occurred in 90 patients (48.9%) in the avelumab arm and 131 patients (74.0%) in the chemotherapy arm (Table 3). Grade ≥ 3 TRAEs occurred in 17 patients (9.2%) in the avelumab arm and 56 patients (31.6%) in the chemotherapy arm.

TRAEs led to discontinuation in seven patients (3.8%) in the avelumab arm and nine patients (5.1%) in the chemotherapy arm. Death related to treatment occurred in one patient (0.6%; sudden death) in the chemotherapy arm; there were no treatment-related deaths in the avelumab arm. Following comprehensive medical review, 12 patients (6.5%) were found to have an immune-related AE with avelumab, which was grade ≥ 3 in 4 patients (2.2%; autoimmune hepatitis, autoimmune hypothyroidism, colitis, and elevated AST). Treatment-related infusion-related reactions, as evaluated according to a composite definition of preferred terms including signs and symptoms,

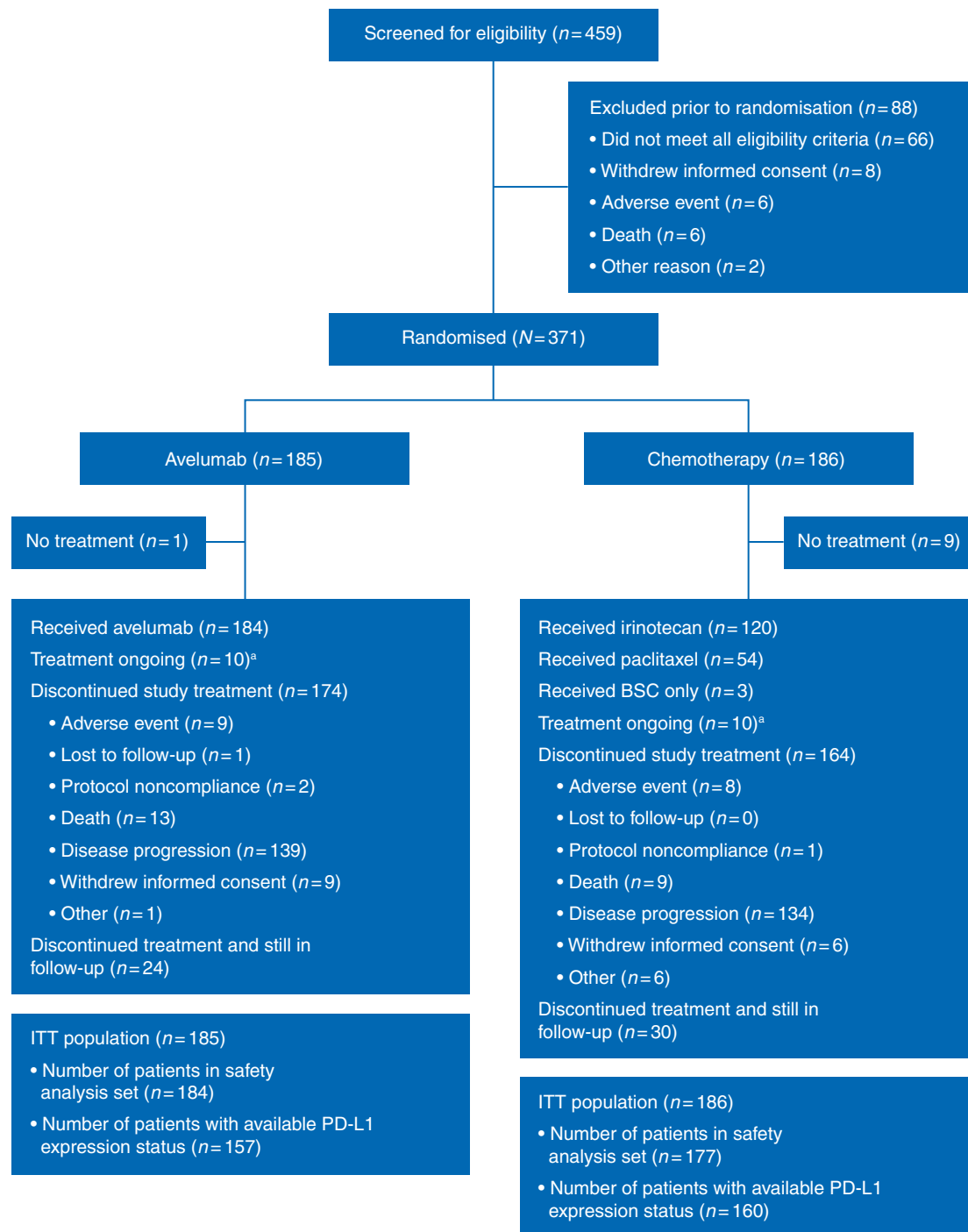


Figure 1. CONSORT diagram. ^aAs of 14 September 2017. BSC, best supportive care; ITT, intention-to-treat; PD-L1, programmed death ligand-1.

occurred in 39 (21.2%) and 5 (2.8%) patients in the avelumab and chemotherapy arms, respectively.

Discussion

The JAVELIN Gastric 300 trial, the first study to compare an anti-PD-L1 antibody (avelumab) to chemotherapy in third-line

treatment of GC/GEJC, did not meet its primary end point of improving OS or the secondary end points of PFS and ORR. Avelumab showed clinical activity in patients with GC/GEJC previously treated with two prior regimens for advanced disease, although not superior to chemotherapy; moreover, the safety profile of avelumab was superior to that of chemotherapy.

The first reported phase III trial of a PD-1/PD-L1 agent in advanced GC/GEJC was ATTRACTION-2 (NCT02267343),

Table 1. Select baseline characteristics in the intention-to-treat population

Characteristics	Avelumab (n = 185)	Chemotherapy (n = 186)
Age, median (range), years	59 (29–86)	61 (18–82)
Sex		
Male	140 (75.7)	127 (68.3)
Female	45 (24.3)	59 (31.7)
ECOG PS		
0	66 (35.7)	62 (33.3)
1	119 (64.3)	124 (66.7)
Histology		
Tubular	67 (36.2)	66 (35.5)
Signet ring	42 (22.7)	36 (19.4)
Mucinous	15 (8.1)	21 (11.3)
Papillary	3 (1.6)	5 (2.7)
Other	57 (31.3)	58 (31.2)
Missing	1 (0.5)	0
Tumour site		
Gastric	122 (65.9)	138 (74.2)
Gastro-oesophageal junction	63 (34.1)	48 (25.8)
Geographic region		
Europe	111 (60.0)	114 (61.3)
Asia	46 (24.9)	47 (25.3)
North America	14 (7.6)	11 (5.9)
Rest of the world	14 (7.6)	14 (7.5)
Race		
White	119 (64.3)	117 (62.9)
Asian	47 (25.4)	47 (25.3)
Black	1 (0.5)	1 (0.5)
Not collected/missing	18 (9.7)	21 (11.4)
Time since diagnosis of metastatic disease, median (range), months	13.6 (2–106)	13.9 (3–64)
Number of prior anticancer therapies for locally advanced/metastatic disease		
1 ^a	26 (14.1)	22 (11.8)
2	158 (85.4)	161 (86.6)
3	0	1 (0.5)
≥4	0	0
Missing	0	2 (1.1)
PD-L1 status, ≥1% staining threshold on tumour cells		
Positive	46 (29.3)	39 (24.4)
Negative	111 (70.7)	121 (75.6)

Data are number of patients (%) unless specified otherwise.

^aPatients who progressed on neoadjuvant therapy without receiving surgery or adjuvant therapy within 6 months of treatment discontinuation were considered to have received one line of prior treatment of advanced, inoperable disease.

ECOG PS, Eastern Cooperative Oncology Group performance status; PD-L1, programmed death ligand-1.

which used a placebo instead of an active comparator in the control arm. In ATTRACTION-2, nivolumab demonstrated superiority in OS [5.26 versus 4.14 months; HR = 0.63 (95% CI 0.51–0.78); $P < 0.0001$] compared with placebo as third or later line of therapy in Asian patients with advanced GC/GEJC [2]. KEYNOTE-061 (NCT02370498), a randomised, phase III trial comparing pembrolizumab with paclitaxel as second-line treatment in patients with advanced GC/GEJC and disease

progression after platinum and fluoropyrimidine doublet therapy, failed to meet its primary end point of OS [9.1 versus 8.3 months; HR = 0.82 (95% CI 0.66–1.03); $P = 0.042$ (one-sided)] in patients with a PD-L1 combined positive score ≥ 1 [22]. To our knowledge, JAVELIN Gastric 300 and KEYNOTE-061 are the only randomised trials comparing anti-PD-1/PD-L1 antibodies with chemotherapy in patients with previously treated GC/GEJC. Although not approved for use in the third-line

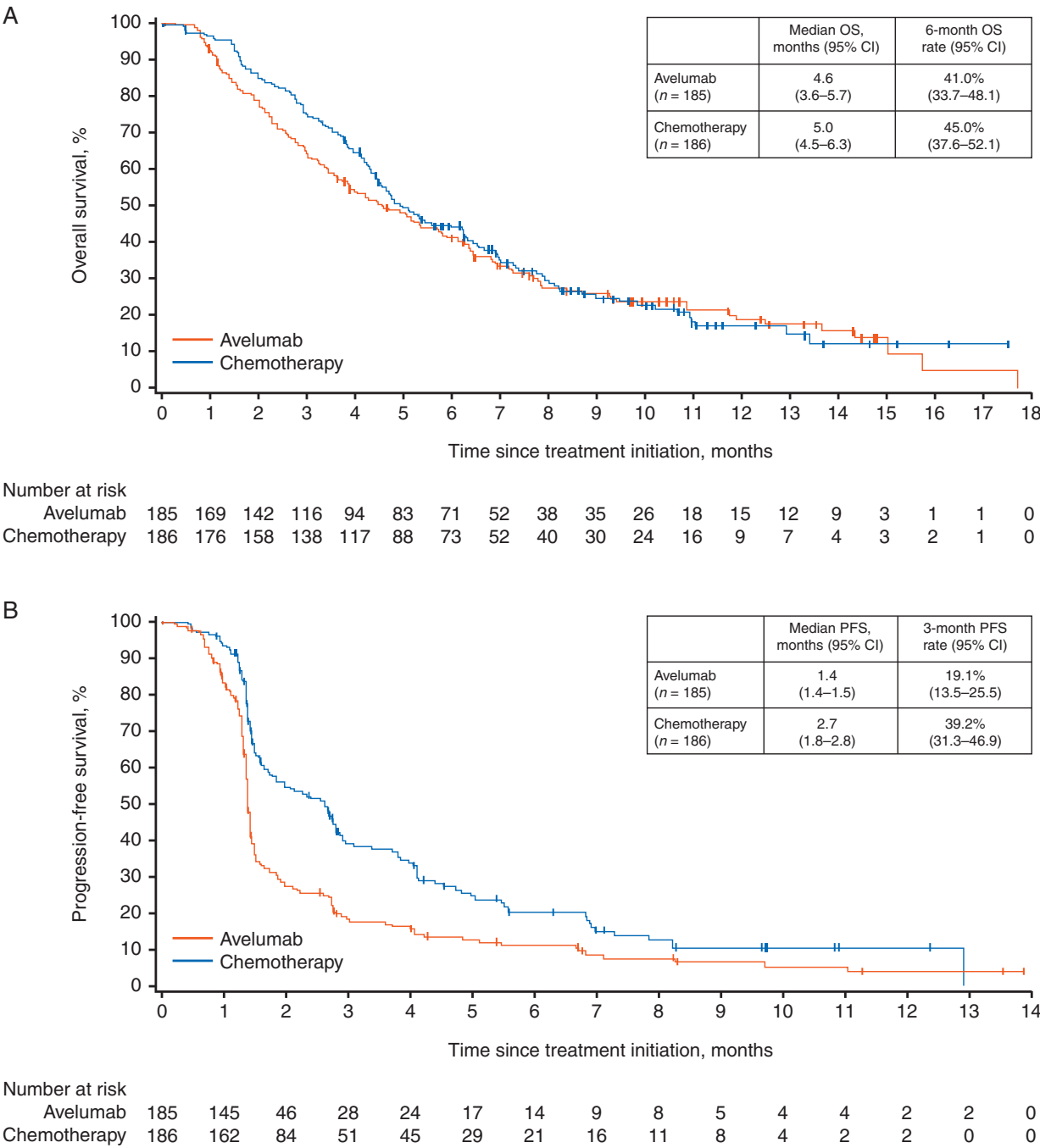


Figure 2. Kaplan–Meier plots of median (A) overall survival (OS) and (B) progression-free survival (PFS) in the intention-to-treat population (n = 371).

setting, chemotherapy is frequently used on the basis of several studies that have suggested improved patient outcomes relative to BSC or placebo [23].

GC/GEJC is biologically heterogeneous, which increases the difficulty of treatment. However, we did not find evidence of clinical benefit compared with commonly used chemotherapy in any of the examined subgroups, including tumour PD-L1 expression status. Furthermore, the impact of PD-L1 expression on prognosis in advanced GC/GEJC is not completely clear. Recent findings from a meta-analysis suggested that PD-L1 expression levels are associated with OS [24]. Conversely, this study and others have

not shown a strong link between prognosis and tumour PD-L1 expression in patients with GC/GEJC [13]. Potential differences in patient cohorts, immunohistochemistry methods, and end points may account for these findings.

Another important finding from our study is that fewer patients had TRAEs with avelumab than with chemotherapy (either any-grade or grade ≥ 3 TRAEs). These results demonstrate that avelumab is better tolerated than chemotherapy in patients with heavily pretreated GC/GEJC, supporting the potential of avelumab for combination or maintenance therapy, even in later stages of disease. Nevertheless, the optimal strategy for

Table 2. Confirmed response rate per IRC in the intention-to-treat population

	Avelumab n = 185	Chemotherapy n = 186
Best objective response, n (%) ^a		
CR	1 (0.5)	1 (0.5)
PR	3 (1.6)	7 (3.8)
SD	30 (16.2)	62 (33.3)
Non-CR/non-PD	7 (3.8)	12 (6.5)
PD	94 (50.8)	59 (31.7)
Non-evaluable ^b	50 (27.0)	45 (24.2)
ORR ^c (95% CI), % ^d	2.2 (0.6–5.4)	4.3 (1.9–8.3)
Disease control rate (95% CI), % ^e	22.2 (16.4–28.8)	44.1 (36.8–51.5)

^aClinical activity of best objective response based on confirmed responses.

^bNon-evaluable includes 'missing' and 'not assessable'.

^cObjective response rate is defined as the proportion of patients with best objective response of CR or PR.

^d95% confidence interval using the Clopper–Pearson method.

^eDisease control rate is CR+PR+SD (including non-CR/non-PD).

CR, complete response; IRC, independent review committee; ORR, objective response rate; PD, progressive disease; PR, partial response; SD, stable disease.

incorporating checkpoint inhibitors into the continuum of care for patients with advanced GC/GEJC is still unknown, and studies of alternative anti-PD-1/PD-L1 treatment strategies in earlier lines of therapy are warranted.

Ongoing randomised, phase III trials for advanced GC/GEJC evaluating checkpoint inhibitors in the first-line setting include CheckMate 649 (NCT02872116), comparing nivolumab plus ipilimumab versus nivolumab plus investigator's choice of chemotherapy (XELOX or FOLFOX) versus chemotherapy alone. ATTRACTION-4 (NCT02746796) is a phase II/III trial evaluating nivolumab plus chemotherapy (oxaliplatin plus either S-1 or capecitabine) versus chemotherapy alone in Asian patients. KEYNOTE-062 (NCT02494583) is comparing pembrolizumab as monotherapy or in combination with cisplatin/5-FU (or capecitabine) versus cisplatin/5-FU (or capecitabine) alone as treatment of patients with PD-L1+ tumours. JAVELIN Gastric 100 (NCT02625610), a randomised, phase III trial is comparing single-agent avelumab administered after patients receive at least stable disease with 3 months of first-line platinum-based chemotherapy as switch-maintenance treatment versus continuation of chemotherapy.

Results from these randomised, controlled trials will contribute to the unmet need for therapeutic efficacy and safety data to inform standardised guidelines for the management of advanced

Table 3. Incidence of TRAEs (any grade in >10% or grade ≥3 in >1%) in the safety analysis set

	Avelumab (n = 184)			Chemotherapy (n = 177)		
	Any grade	Grade ≥3	Grade 4/5^a	Any grade	Grade ≥3	Grade 4/5^b
Any TRAE	90 (48.9)	17 (9.2)	1 (0.5)	131 (74.0)	56 (31.6)	13 (7.3)
Nausea	12 (6.5)	0	0	50 (28.2)	2 (1.1)	1 (0.6)
Diarrhoea	11 (6.0)	1 (0.5)	0	47 (26.6)	6 (3.4)	0
Neutropenia ^c	0	0	0	37 (20.9)	23 (13.0)	7 (4.0)
Alopecia	0	0	0	25 (14.1)	0	0
Anaemia	1 (0.5)	0	0	24 (13.6)	11 (6.2)	0
Decreased appetite	6 (3.3)	0	0	24 (13.6)	4 (2.3)	0
Infusion-related reaction ^d	39 (21.2)	1 (0.5)	0	5 (2.8)	0	0
Asthenia	7 (3.8)	1 (0.5)	0	22 (12.4)	5 (2.8)	0
Fatigue	11 (6.0)	1 (0.5)	0	18 (10.2)	2 (1.1)	0
Vomiting	8 (4.3)	0	0	17 (9.6)	2 (1.1)	0
Decreased WBC	0	0	0	13 (7.3)	7 (4.0)	3 (1.7)
Elevated ALT	6 (3.3)	3 (1.6)	0	7 (4.0)	4 (2.3)	1 (0.6)
Elevated AST	7 (3.8)	4 (2.2)	0	6 (3.4)	3 (1.7)	1 (0.6)
Febrile neutropenia	0	0	0	6 (3.4)	6 (3.4)	1 (0.6)
Elevated blood alkaline phosphatase	3 (1.6)	2 (1.1)	0	3 (1.7)	2 (1.1)	0
Elevated GGT	4 (2.2)	4 (2.2)	1 (0.5)	2 (1.1)	2 (1.1)	0
Elevated lipase	1 (0.5)	1 (0.5)	0	2 (1.1)	2 (1.1)	1 (0.6)
Sudden death	0	0	0	1 (0.6)	1 (0.6)	1 (0.6)

Data are number of patients (%).

The safety analysis set comprised all patients who were administered any dose of the study medication or best supportive care only.

^aAll TRAEs with avelumab were grade 4.

^bAll TRAEs with chemotherapy were grade 4, except for 1 event of grade 5 sudden death.

^cIncludes the preferred terms neutropenia and neutrophil count decreased.

^dIncludes adverse events categorised as infusion-related reaction, drug hypersensitivity, or hypersensitivity reaction that occurred on the day of infusion or day after infusion, in addition to signs and symptoms of infusion-related reaction that occurred on the same day of infusion and resolved within 2 days.

ALT, alanine aminotransferase; AST, aspartate aminotransferase; GGT, γ-glutamyltransferase; TRAE, treatment-related adverse event; WBC, white blood cell.

GC/GEJC and potentially identify patient subgroups most likely to benefit from checkpoint inhibitors.

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